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APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
10/539,132	06/16/2005	Klaus Schultes	272480US0PCT	2289
22850	7590	06/10/2011		
OBLON, SPIVAK, MCCLELLAND MAIER & NEUSTADT, L.L.P. 1940 DUKE STREET ALEXANDRIA, VA 22314			EXAMINER REDDY, KARUNA P	
			ART UNIT 1764	PAPER NUMBER
			NOTIFICATION DATE 06/10/2011	DELIVERY MODE ELECTRONIC

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1                                   RECORD OF ORAL HEARING  
2                                   UNITED STATES PATENT AND TRADEMARK OFFICE

3                                   \_\_\_\_\_  
4                                   BEFORE THE BOARD OF PATENT APPEALS  
5                                   AND INTERFERENCES

6                                   \_\_\_\_\_  
7                                   *Ex Parte* KLAUS SCHULTES *et al.*

8                                   \_\_\_\_\_  
9                                   Appeal 2010-005278  
10                                  Application 10/539,132  
11                                  Technology Center 1700

12                                  \_\_\_\_\_  
13                                  Oral Hearing Held: Tuesday, April 12, 2011

14                                  \_\_\_\_\_  
15  
16                                  Before CHUNG K. PAK, KAREN M. HASTINGS and  
17                                  MICHAEL P. COLAIANNI, Administrative Patent Judges

18  
19                                  ON BEHALF OF THE APPELLANT:

20                                  HARRIS A. PITLICK, ESQ.  
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1                   *The above-entitled matter came on for hearing on Tuesday,*  
2                   *April 12, 2011, commencing at 10:25 a.m., at the U.S. Patent and*  
3                   *Trademark Office, 600 Dulany Street, 9th Floor, Hearing Room A,*  
4                   *Alexandria, Virginia, before B. Stanley Ross, notary public.*

5

6                   JUDGE PAK: Mr. Pitlick, welcome.

7                   Mr. Pitlick, you've got 20 minutes, and you can start anytime  
8                   you wish.

9                   MR. PITLICK: Okay. The invention here is a process for  
10                  preparing an aqueous polymer dispersion of what's currently known as a --  
11                  basically a core shell polymer.

12                 The process begins with a particular starting material. You get  
13                  a certain seed particle radius, and then you have a number of compositions  
14                  emulsified -- emulsified form to get the core of your shell and your shell.

15                 The most important, let's say, element of this particular  
16                  invention is the combination of having, in effect, a solid amount of at least  
17                  50 percent, together with a coagulate amount of -- quite low -- it's than 0.1  
18                  percent -- I'm sorry -- 0.1 percent or less by weight, and we've disclosed in  
19                  the specification various prior art processes that are somewhat similar but  
20                  have not been able to obtain this combination of high solids content and low  
21                  coagulate content.

22                 We do have some comparative data in the specification which  
23                  shows that similar monomer compositions for the various core and shell

1 layers still were not able to achieve both the combination of a high solids  
2 content and a low coagulum amount.

3 So, the rejections here -- there are basically three sets of  
4 rejections, all prior art rejections. They all rely on Hoffman et. al -- sorry,  
5 just Hoffman -- and the examiner alternatively relies on three other  
6 references -- to wit, Takarabe et. al and Morningstar et. al -- and then there's  
7 some further rejections along another art dealing with some of the other  
8 dependent claims.

9 I think the briefs pretty much set forth our position, and there is  
10 really no prima facie case, but nevertheless, the comparative data still needs  
11 to be considered for purposes of patentability.

12 The comparative samples which we've described in the  
13 specification and which we also rely on are actually closer than any of the  
14 examples or compositions relied on by the applied prior art, and in view of  
15 cases such the Ex parte Humber Board decision, which is still valid, which  
16 we cite, certainly, as I say, the comparative data is closer to any of the art  
17 and certainly it's valid to consider that for the comparative examples.

18 That's basically it in a nutshell, and I'll be happy to answer any  
19 questions you might have.

20 JUDGE COLAIANNI: I have a question. I notice you had  
21 some product-by-process claims, I think 29 onward. How does that analysis  
22 you just described square with the examiner's case, which is that, you know,  
23 you have a similar composition, similar method, so why is the product  
24 necessarily different?

1           MR. PITLICK: Well, the comparative data shows that there are  
2 differences in the product, and so, I know when you have a product by  
3 process and the product appears to be at least similar if not the same as the  
4 art, you've got to show that the process basically lends certain compositional  
5 limitations or changes to the composition. I think the comparative data  
6 actually shows that.

7           JUDGE PAK: Any other questions?

8           Thank you for coming. We will consider the argument.

9           (Whereupon, at 10:30 a.m., the proceedings were concluded.)  
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